



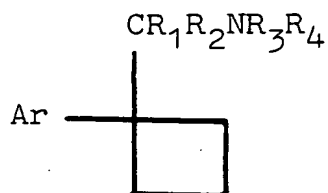
In the matter of #16  
US Patent Application  
Serial No. 725206  
filed April 19th, 1985.

#### DECLARATION

I, William Roger Buckett, a British Subject of 27 Trevor Road, West Bridgford, Nottingham, England, do hereby declare that:-

1) In 1959 I was awarded the degree of B. Pharm. with Honours in Pharmacology by the University of London, England. In 1965 I was awarded the degree of Ph.D. in Pharmacology from the University of London. In 1983 the same university awarded me a higher doctorate, namely the D.Sc. degree, on the basis of my published work in diverse areas of neuropharmacology. In addition I have been designated a Fellow of the Institute of Biology (F.I. Biol.) and of the Pharmaceutical Society of Great Britain (F.P.S.). From 1960 to date I have been employed in industrial pharmacology in several companies including Organon Laboratories Ltd., and ICI PLC, Pharmaceuticals Division, (United Kingdom), Novo Industri A/S (Denmark) and Centre de Recherche Merrell International (France). In 1980 I joined the Research Department of The Boots Company PLC, Nottingham, England as Section Leader (Mental Illness, Biology). In 1982 I was appointed Research Project Leader (Mental Illness) and in 1984 became Senior Section Leader (Mental Illness Projects). I am in charge of a team of scientists engaged in neuroscience research and am project leader for antidepressant, neuroleptic and antiepileptic projects. I have published over 75 papers and abstracts in scientific journals and am entirely familiar with the pharmacological and biochemical methods used to evaluate antidepressant drug activity in chemical compounds.

2) I am familiar with the compounds described in US Patent 4443449 and in the above identified application which I have been advised by Counsel is a continuation of U.S. Serial No. 365285 (now issued as U.S. Patent No. 4522828) with which I am also familiar. I have been advised by Counsel that US Serial No. 725206 will be amended by an Amendment to be submitted herewith and will after amendment claim compounds of formula B

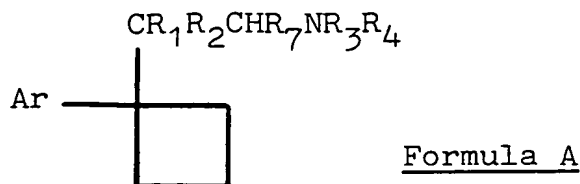


Formula B

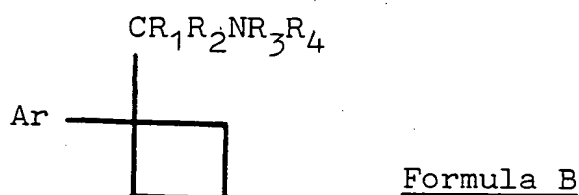
in which  $R_1$  is a branched chain alkyl of up to 6 carbon atoms. I am also advised by Counsel that there are other copending applications which describe compounds of formula B. Counsel advises me that US Serial No. 725129 describes and claims compounds of formula II in which  $R_1$  is methyl or ethyl and that US Serial No. 812730 describes and claims compounds of formula B in which  $R_1$  is propyl or butyl. I am advised by Counsel that a divisional application derived from US Serial No. 725206 is to be filed relating to compounds of formula B in which  $R_1$  is cycloalkyl, cycloalkylalkyl, optionally substituted phenyl, alkenyl or alkynyl.

3) I am also familiar with the test procedures conducted at The Boots Company PLC to determine the therapeutic activity of compounds described and claimed in US Patent No. 4443449 and described and claimed in US Serial No. 725206. The reserpine reversal test was conducted in the following manner. Male mice of Charles River CD1 strain weighing between 18 and 30 g were separated into groups of five and were supplied with food and water ad libitum. After four

hours, the body temperature of each mouse was taken orally and the mice were injected intraperitoneally with reserpine (10 mg/kg) in solution in deionised water containing ascorbic acid (50 mg/ml). The amount of liquid injected was 10 ml/kg of body weight. Twenty-three hours after the start of the test the temperatures of the mice were taken and the mice were given the test compound orally at a dose volume of 10 ml/kg of body weight. The compound was administered in one of the following ways:- (a) in aqueous solution, (b) in solution in less than 1% v/v acetic acid, (c) in solution in less than 0.02N hydrochloric acid, (d) suspended in an acacia suspension containing 100 mg acacia in 5 ml deionised water or (e) suspended in a 0.25% solution of hydroxy ethyl cellulose (sold under the trade name Cellosize QP 15000 by Union Carbide) in deionised water. Three hours later the temperatures of all the mice were again taken. The percentage reversal of the reserpine-induced loss of body temperature was then calculated for the 30 mg/kg dosage and is given in the attached table as a percentage reversal ( $RR_{30}$ ). This test is a meaningful measurement of the antidepressant activity of the compounds tested. According to the procedure at The Boots Company PLC (the assignee of the present application) all compounds submitted for screening are tested at least once at a dose of 30 mg/kg. Many compounds gave a percentage reversal at this dose of greater than 50% and were subsequently tested at lower doses to enable a value of mean dose which would cause a 50% reversal ( $ED_{50}$ ) to be obtained. In the Tables appended to this Declaration the percentage reversal results at 30 mg/kg is given in the column headed  $RR_{30}$ . It is believed that any compound which shows greater than 50% reversal at 30 mg/kg has utility as an antidepressant. In the Tables set forth hereinafter compounds which are described and claimed in US Patent No. 4443449 are represented by formula A



and compounds which are described and claimed in US Serial Nos. 725129, 725206 and 812730 are represented by formula B



4) During research in the laboratories of the assignee of the present application to find compounds having utility as antidepressants many compounds of formula A and formula B have been synthesised and tested in the test described above. The results obtained in the screening of these compounds has been provided to me and I have reviewed these results which are set forth in the attached Tables.

5) In Table IA attached hereto are given the  $\text{RR}_{30}$  values determined for each of the compounds identified in the Examples of US Patent 4443449 and in Table IB are given the  $\text{RR}_{30}$  values for other compounds of formula A falling within the scope of the claims of US Patent 4443449 which have been prepared in the laboratories of the assignees of the present invention.

6) In Tables II and III attached hereto the  $\text{RR}_{30}$  for compounds of formula A in which Ar is 4-chlorophenyl and 3,4-dichlorophenyl respectively which have been synthesised

in the laboratories of the assignee of the present application are set forth. In addition those Tables also include  $RR_{30}$  data on compounds of formula B in which Ar is 4-chlorophenyl and 3,4-dichlorophenyl respectively which are described and claimed in US Serial Nos. 725129, 725206 and 812730 and which are closest structurally to the compounds of formula A.

7) It is my opinion that Table II in which Ar in formulae A and B is 4-chlorophenyl shows that in compounds falling within the claims of US Patent No. 4443449 the activity decreases as the size of the group  $R_7$  increases from methyl and ethyl to propyl whereas in the compounds of formula B falling within the claims of US Serial Nos. 725129, 725206 and 812730 the activity is maintained as the size of the corresponding group  $R_1$  increases from methyl and ethyl to alkyl groups containing 3 and 4 carbon atoms. In my opinion this result could not have been predicted from the results obtained with the compounds of US Patent No. 4443449.

8) In my opinion Table III shows a similar situation in that for compounds of formula A increasing the size of the group  $R_7$  to isobutyl results in a decrease in activity whereas a high level of activity is maintained as the size of the group  $R_1$  in compounds of formula B is increased. The results shown for compounds of formula B in my opinion could not have been predicted from the results of the compounds of US Patent No. 4443449.

9) The  $RR_{30}$  values obtained for the compounds identified in the Examples of US Serial No. 725206 falling within the scope of the claims are given in Table IV. It is my opinion that Table IV shows that the compounds of US Serial No. 725206 show superior activity to the structurally closest compounds of US Patent No. 4443449 which are the compounds of formula A

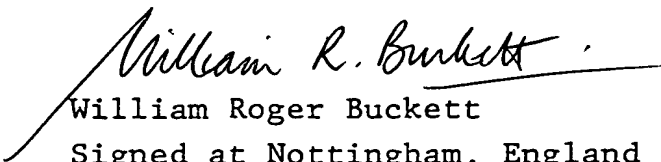
in which R<sub>7</sub> is propyl (see Table II). The compounds in Table IV also show superior activity to the compound of formula A in Table III in which R<sub>7</sub> is isobutyl.

10) Based on the data set forth in Tables II to IV it is my opinion that the antidepressant activity of compounds of US Serial No. 725206 which have the formula B as set forth in these tables could not have been predicted from the results obtained with compounds of US Patent No. 4443449 of formula A in the Tables. In my opinion the compounds of formula B of US Serial No. 725206 in Table IV show unexpected superiority over compounds of US Patent No. 4443449.

11) Table V lists the RR<sub>30</sub> results obtained for compounds of formula B falling within the scope of the claims of the present application which have been prepared in the laboratories of the assignees of the present application and which are not included in Tables II to IV.

Further declarant sayeth not

I, the undersigned declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such wilful false statements may jeopardise the validity of the application or any patent issuing thereon.

  
William Roger Buckett

Signed at Nottingham, England on the 30<sup>th</sup> day of March, 1987.

Table IA  
[Formula A  $R_2 = H$ ]

Ar	$R_1$	$R_7$	$NR_3R_4$	$RR_{30}$
4-chlorophenyl	H	H	$NMe_2$	61,69,36
3,4-dichlorophenyl	H	H	$NMe_2$	74,73
4-iodophenyl	H	H	$NMe_2$	79,32,61
2-naphthyl	H	H	$NMe_2$	61,65
4-chloro-2-fluorophenyl	H	H	$NMe_2$	45,49,58,52
4-chlorophenyl	H	H	pyrrolidinyl	60,71
3,4-chlorophenyl	H	H	pyrrolidinyl	70,69
2-naphthyl	H	H	pyrrolidinyl	59,79
4-chlorophenyl	H	Me	NHMe	60,72,71
4-chlorophenyl	H	Me	$NH_2$	54,42
4-chlorophenyl	H	Me	pyrrolidinyl	68,66
4-chlorophenyl	H	Me	$NMe_2$	84,81
3,4-dichlorophenyl	H	Et	$NH_2$	78,52,82
3,4-dichlorophenyl	H	Me	$NH_2$	43,49,69,64,34
3,4-dichlorophenyl	H	Et	$NMe_2$	69,80
3,4-dichlorophenyl	H	Me	$NMe_2$	79,95,45,47,68,58
4-chlorophenyl	H	Et	NHMe	57,49
3,4-dichlorophenyl	H	Et	NHMe	33,77,83,70
4-chlorophenyl	H	H	piperidino	68,58,63
4-chlorophenyl	H	H	2,5-dimethylpyrrolidinyl	60,99,75
4-chlorophenyl	H	H	4-methylpiperazinyl	68,44,70
4-chlorophenyl	H	H	1,2,3,6-tetrahydropyridyl	62,60,46,62
4-chlorophenyl	Me	H	pyrrolidinyl	64,46,70
3,4-dichlorophenyl	H	Et	pyrrolidinyl	70,81
4-chlorophenyl	H	H	N(Me)cyclopropyl	78,55,64,53

Table IB

{Formula A}

Ar	R <sub>1</sub>	R <sub>2</sub>	R <sub>7</sub>	NR <sub>3</sub> R <sub>4</sub>	RR <sub>30</sub>
4-chlorophenyl	H	H	H	NH <sub>2</sub>	19
3,4-dichlorophenyl	H	H	H	NH <sub>2</sub>	16,16
4-chlorophenyl	H	H	H	NHcyclopropyl	38,9
2,4-dichlorophenyl	H	H	H	NH <sub>2</sub>	10,0
2,4-dichlorophenyl	H	H	H	NMe <sub>2</sub>	36,29,28,51
3-chloro-4-methylphenyl	H	H	H	NH <sub>2</sub>	22,17
3-chloro-4-methylphenyl	H	H	H	NMe <sub>2</sub>	53,72
3-chloro-4-methylphenyl	H	H	H	pyrrolidinyl	58,51,33,37,30
4-chloro-2-fluorophenyl	H	H	H	NH <sub>2</sub>	36,12
4-chloro-2-methylphenyl	H	H	H	NMe <sub>2</sub>	14,17
4-chloro-3-trifluoromethylphenyl	H	H	H	NH <sub>2</sub>	48,46
4-chloro-3-trifluoromethylphenyl	H	H	H	NMe <sub>2</sub>	25,30,31,43
4-chlorophenyl	H	H	Et	NMe <sub>2</sub>	45,42
4-chlorophenyl	H	H	Pr	NH <sub>2</sub>	20,11
4-chlorophenyl	H	H	Pr	NHMe	47,34,17
4-chlorophenyl	H	H	Pr	pyrrolidinyl	1,22
4-chloro-2-fluorophenyl	H	H	Me	NH <sub>2</sub>	61,66,38,24,35,46,21,50
4-chloro-3-trifluoromethylphenyl	H	H	Me	NH <sub>2</sub>	56,55
4-chloro-3-trifluoromethylphenyl	H	H	Me	NMe <sub>2</sub>	36,48
4-chlorophenyl	Me	H	Me	NH <sub>2</sub>	26,24
4-chlorophenyl	Me	H	Me	NMe <sub>2</sub>	36,13
4-chlorophenyl	Me	H	Me	pyrrolidinyl	0,5
4-chlorophenyl	Me	Me	H	NH <sub>2</sub>	39,6
4-chlorophenyl	Me	Me	H	NMe <sub>2</sub>	30,16
4-chlorophenyl	Me	Me	H	pyrrolidinyl	10
4-chlorophenyl	Me	Me	Me	NH <sub>2</sub>	9
4-chlorophenyl	Me	Me	Me	NHMe	9
4-chlorophenyl	Me	Me	Me	NMe <sub>2</sub>	5



Table II

[Ar = 4-chlorophenyl]

Formula A					Formula B				
R <sub>1</sub>	R <sub>2</sub>	R <sub>7</sub>	NR <sub>3</sub> R <sub>4</sub>	RR <sub>30</sub>	R <sub>1</sub>	R <sub>2</sub>	NR <sub>3</sub> R <sub>4</sub>	RR <sub>30</sub>	
H	H	Me	NH <sub>2</sub>	54,42	Me	H	NH <sub>2</sub>	70,83,58	
H	H	Me	NHMe	60,72,71,70	Me	H	NHMe	67,66,59,76,66,48, 58,76,59,51,29,56	
H	H	Me	NMe <sub>2</sub>	84,81	Me	H	NMe <sub>2</sub>	95,43,46	
H	H	Me	pyrrolidinyl	68,66,55	Me	H	pyrrolidinyl	24,25,57	
Me	H	H	NH <sub>2</sub>	5					
Me	H	H	pyrrolidinyl	64,46,70					
Me	H	Me	NH <sub>2</sub>	26,24					
Me	H	Me	NMe <sub>2</sub>	36,13					
Me	H	Me	pyrrolidinyl	0,5					
Me	Me	H	NH <sub>2</sub>	39,6	Me	Me	NH <sub>2</sub>	75,51,33,72,68,16	
Me	Me	H	NMe <sub>2</sub>	30,16	Me	Me	NMe <sub>2</sub>	9,13	
Me	Me	H	pyrrolidinyl	10					
Me	Me	Me	NH <sub>2</sub>	9					
Me	Me	Me	NHMe	9					
Me	Me	Me	NMe <sub>2</sub>	5					
H	H	Et	NHMe	57,49	Et	H	NH <sub>2</sub>	52,36	
H	H	Et	NMe <sub>2</sub>	45,42	Et	H	NHMe	73,53,80	
H	H	Pr	NH <sub>2</sub>	20,11	Et	H	NMe <sub>2</sub>	79,58	
H	H	Pr	NHMe	47,34,17	Pr	H	NH <sub>2</sub>	81,76,78,80,83,80 75,84	
H	H	Pr	pyrrolidinyl	1,22	Pr	H	NHMe	84,75,73	
Pr	H	H	pyrrolidinyl	7,26	Pr	H	NMe <sub>2</sub>	84	
					i-Pr	H	NH <sub>2</sub>	59,78	
					i-Pr	H	NHMe	56,74	
					Bu	H	NH <sub>2</sub>	41,71,75	
					Bu	H	NHMe	76,66,75	
					Bu	H	NMe <sub>2</sub>	76,78	
					i-Bu	H	NH <sub>2</sub>	64,61	
					i-Bu	H	NHMe	79,80	
					i-Bu	H	NMe <sub>2</sub>	74,55	
H	H	Ph	NH <sub>2</sub>	5,8,9	Ph	H	NH <sub>2</sub>	76,70,82,84	
H	H	Ph	NHMe	7,22,22	Ph	H	NHMe	79,74,76,68	

Table III

[Ar = 3,4-dichlorophenyl R<sub>2</sub> = H]

Formula A				Formula B		
R <sub>1</sub>	R <sub>7</sub>	NR <sub>3</sub> R <sub>4</sub>	RR <sub>30</sub>	R <sub>1</sub>	NR <sub>3</sub> R <sub>4</sub>	RR <sub>30</sub>
H	Me	NH <sub>2</sub>	43,49,69,64,34	Me	NH <sub>2</sub>	71,72,80
				Me	NHMe	78,77,76,70
H	Me	NMe <sub>2</sub>	76,95,48,47,68,58	Me	NMe <sub>2</sub>	74,73,87
				Me	pyrrolidinyl	56,71,42
H	Et	NH <sub>2</sub>	78,52,82	Et	NH <sub>2</sub>	75,78
H	Et	NHMe	33,77,83,70,104			
H	Et	NMe <sub>2</sub>	69,80	Et	NMe <sub>2</sub>	84,81
H	Et	pyrrolidinyl	70,81			
				Pr	NH <sub>2</sub>	79
				Pr	NHMe	82
				Pr	NMe <sub>2</sub>	80
H	i-Bu	NH <sub>2</sub>	18,29	i-Bu	NH <sub>2</sub>	77,83
				i-Bu	NMe <sub>2</sub>	85
H	cyclo-hexyl	NH <sub>2</sub>	23,33	cyclo-hexyl	NH <sub>2</sub>	78,77,63,86
				cyclo-hexyl	NHMe	83,82,83
H	cyclo-hexyl	NMe <sub>2</sub>	17,30	cyclo-hexyl	NMe <sub>2</sub>	56,46

Table IV  
[Formula B  $R_2 = H$ ]

$R_1$	Ar	$NR_3R_4$	$RR_{30}$
i-Bu	4-chlorophenyl	NHCHO	76,62
i-Pr	4-chlorophenyl	NHMe	56,74
s-Bu	4-chlorophenyl	NHMe	58,68
i-Bu	4-chlorophenyl	NHMe	79,80
i-Bu	2-naphthyl	NHMe	82
i-Bu	3,4-dimethylphenyl	NHMe	74,70
i-Pr	4-chlorophenyl	NH <sub>2</sub>	59,78
s-Bu	4-chlorophenyl	NH <sub>2</sub>	51,75
i-Bu	4-chlorophenyl	NH <sub>2</sub>	64,61
i-Bu	phenyl	NH <sub>2</sub>	51,51,73
i-Bu	4-chlorophenyl	NMe <sub>2</sub>	74,55
i-Bu	phenyl	NMe <sub>2</sub>	82,58,76,55
i-Bu	3,4-dichlorophenyl	NMe <sub>2</sub>	85

Table V  
[Formula B  $R_2 = H$ ]

$R_1$	Ar	$NR_3R_4$	$RR_{30}$
i-Bu	phenyl	NHMe	80,78
i-Bu	3,4-dichlorophenyl	NH <sub>2</sub>	77,83
i-Bu	2-chlorophenyl	NMe <sub>2</sub>	75,59
i-Bu	3-chlorophenyl	NMe <sub>2</sub>	78,82
i-Bu	4-bromophenyl	NH <sub>2</sub>	78,78
i-Bu	4-bromophenyl	NMe <sub>2</sub>	68,53
i-Bu	2-fluorophenyl	NH <sub>2</sub>	75,69,45
i-Bu	2-fluorophenyl	NMe <sub>2</sub>	67,55
i-Bu	3-fluorophenyl	NH <sub>2</sub>	30,21,71,11,33
i-Bu	3-fluorophenyl	NMe <sub>2</sub>	74,53,33
i-Bu	4-fluorophenyl	NH <sub>2</sub>	62,51
i-Bu	4-fluorophenyl	NHMe	79,79
i-Bu	4-fluorophenyl	NMe <sub>2</sub>	76,82
i-Bu	3,4-difluorophenyl	NH <sub>2</sub>	84,83
i-Bu	3-trifluoromethylphenyl	NMe <sub>2</sub>	80,69
i-Bu	3,4-dimethylphenyl	NMe <sub>2</sub>	67,64
i-Bu	3,4-dimethylphenyl	NEt <sub>2</sub>	15,13
i-Bu	4-methoxyphenyl	NH <sub>2</sub>	59,74,66,68
i-Bu	4-methoxyphenyl	NMe <sub>2</sub>	66,69
i-Bu	4-methoxyphenyl	N(Me)Pr	24,36
i-Bu	3-ethoxyphenyl	NMe <sub>2</sub>	38,38
i-Bu	4-methylthiophenyl	NH <sub>2</sub>	75,61
i-Bu	4-methylthiophenyl	NMe <sub>2</sub>	67,76
i-Bu	2-biphenyllyl	NH <sub>2</sub>	4,7
i-Bu	3-biphenyllyl	NH <sub>2</sub>	9,7
i-Bu	4-biphenyllyl	NH <sub>2</sub>	64,69
i-Bu	4-biphenyllyl	NMe <sub>2</sub>	52,44,51
i-Bu	2-naphthyl	NH <sub>2</sub>	82,78
i-Bu	2-naphthyl	NMe <sub>2</sub>	82,82
i-Bu	4-biphenyllyl	NEt <sub>2</sub>	76,66
t-Bu	4-chlorophenyl	NH <sub>2</sub>	23,19

Table V continued

$R_1$	Ar	$NR_3R_4$	$RR_{30}$
$CH_2CMe_3$	4-chlorophenyl	$NH_2$	83,84,83,75
$CH_2CMe_3$	4-chlorophenyl	$NMe_2$	59,85,76
$CH_2CMe_3$	phenyl	$NH_2$	11,1,18,25
$CH_2CMe_3$	4-methoxyphenyl	$NH_2$	69,57
$CH_2CMe_3$	4-methoxyphenyl	$NMe_2$	68,77
$(CH_2)_2CHMe_2$	4-chlorophenyl	$NH_2$	74,58
$(CH_2)_2CHMe_2$	4-chlorophenyl	$NMe_2$	71,33,45,68
$(CH_2)_2CHMe_2$	4-methoxyphenyl	$NH_2$	43,35
$(CH_2)_2CHMe_2$	phenyl	$NH_2$	52,54
$(CH_2)_2CHMe_2$	phenyl	$NMe_2$	46,76,26
$CH_2CH(Me)Et$	4-chlorophenyl	$NH_2$	83,78
$CH_2CH(Me)Et$	4-chlorophenyl	$NHMe$	79,82
$CH_2CH(Me)Et$	4-chlorophenyl	$NMe_2$	72,66
$CH_2CHEt_2$	4-chlorophenyl	$NMe_2$	39,53,31
$CH_2CHEt_2$	4-fluorophenyl	$NH_2$	40,9
$CH_2CHEt_2$	4-fluorophenyl	$NHMe$	38,4
$CH_2CHEt_2$	4-fluorophenyl	$NMe_2$	47,35